

**Republic of Ecuador**

**Social Safety Net Project  
(P167416; P175921)**

**Updated  
ENVIRONMENTAL AND SOCIAL  
COMMITMENT PLAN (ESCP)**

**October 04, 2024**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Ecuador (the Borrower) is implementing the Social Safety Net Project (the Project), with the involvement of the Ministerio de Inclusión Económica y Social (MIES), the Unidad del Registro Social (SRU), and the Ministerio de Salud Pública (MoH) as set out in the Loan Agreements for the original financing, the first additional financing, and the second additional financing (each, a “Loan Agreement” and collectively, the “Loan Agreements”). The International Bank for Reconstruction and Development (the Bank) has agreed to provide the original financing (P167416), first additional financing (P175921) and second additional financing (AF2) (P167416) for the Project, as set out in the referred agreements. This Environmental and Social Commitment Plan (ESCP) supersedes previous versions of the ESCP for the Project and shall apply both to the original and the additional financings for the Project referred to above.
2. The Borrower shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this ESCP, in a manner acceptable to the Bank. The ESCP is a part of the Loan Agreements. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreements.
3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Borrower shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Bank. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Bank.
4. As agreed by the Bank and the Borrower, this ESCP will be revised from time to time if necessary, during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to Project performance. In such circumstances, the Borrower through the MIES and the Bank agree to update the ESCP to reflect these changes through an exchange of letters signed between the Bank and the Borrower Project Coordinator of MIES. The Borrower shall promptly disclose the updated ESCP.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
<b>MONITORING AND REPORTING</b>			
A	<p><b>REGULAR REPORTING</b></p> <p>Prepare and submit to the Bank regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&amp;S instruments required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism, and including activities supported by SRU and MoH, as well as by GADs partnering with SRU and GADs and NGOs partnering with MIES pursuant to the respective Inter-Institutional Agreements.</p>	Submit six-monthly reports to the Bank throughout Project implementation, commencing after the Effective Date of the original Loan Agreement. Submit each report to the Bank no later than 45 days after the end of each reporting period, referred to in Section II.1 of Schedule 2 of each Loan Agreement.	MIES (MIES PIU)
B	<p><b>INCIDENTS AND ACCIDENTS</b></p> <p>Promptly notify the Bank of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers, including, inter alia, cases of sexual exploitation and abuse (SEA), sexual harassment (SH), and accidents that result in death, serious or multiple injury. Provide sufficient detail regarding the scope, severity, and possible causes of the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and/or supervising firm, as appropriate.</p> <p>Subsequently, at the Bank's request, prepare a report on the incident or accident and propose any measures to address it and prevent its recurrence.</p>	<p>Notify the Bank no later than 48 hours after learning of the incident or accident.</p> <p>Provide subsequent report to the Bank within a timeframe acceptable to the Bank.</p>	MIES PIU, in coordination with SRU and MoH
<b>ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			
1.1	<p><b>ORGANIZATIONAL STRUCTURE</b></p> <p>Maintain a PIU within the entity implementing the Project that is tasked with ESHS management with qualified staff and resources to support management of ESHS risks and impacts of the Project including a social specialist in the MIES PIU that will oversee the implementation of the ESCP measures and actions. The updated LMP (referred in the section on ESS2), includes measures for managing the Social Registry Unit (SRU) and the Ministry of Public Health (MoH), which shall be coordinated by MIES PIU social specialist and SRU and MoH focal points, and for GADs and NGOs.</p>	Maintain staff in MIES PIU, including the social specialist, SRU PIU, and MoH PIU, as set out in each Loan Agreement, throughout Project implementation.	MIES PIU, SRU PIU, MoH PIU.
1.2	<p><b>ENVIRONMENTAL AND SOCIAL INSTRUMENTS</b></p> <p>1. Implement the Social Assessment (SA) and SA Action Plan consistent with the revised Project design and relevant ESSs.</p> <p>2. Implement a Gender Action Plan (GAP) based on the gender assessment findings and integrate informed actions into Project implementation.</p>	1. Implement the SA Action Plan throughout Project implementation.	MIES PIU, in coordination with MoH and SRU focal points.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
		2. The GAP was already adopted. Implement the Gender Action Plan throughout Project implementation.	
1.3	<b>MANAGEMENT OF CONTRACTORS</b> Incorporate the relevant aspects of the ESCP, including, inter alia, the relevant E&S instruments, the Labor Management Procedures, and code of conduct, into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms. Thereafter ensure that the contractors and supervising firms comply and cause subcontractors to comply with the ESHS specifications of their respective contracts.	As part of the preparation of procurement documents and respective contracts. Supervise contractors throughout Project implementation.	MIES PIU, in coordination with MoH and SRU focal points.
1.4	<b>CONTINGENT EMERGENCY RESPONSE COMPONENT (CERC)</b> a) Ensure that the CERC Manual as specified in the Loan Agreements for each of the original financing and the first additional financing includes a description of the ESHS assessment and management arrangements including the CERC-ESMF for the implementation of the Contingent Emergency Response Part of the Project, in accordance with the ESSs. b) Adopt any E&S instruments which may be required for the corresponding activities under the Contingent Emergency Response Part of the Project, in accordance with the CERC Manual and CERC-ESMF and the ESSs, and thereafter implement the measures and actions required under such E&S instruments, within the timeframes specified in such E&S instruments.	a) The adoption of the CERC manual (including the CERC-ESMF) in form and substance acceptable to the Bank is a withdrawal condition under Section II.B.1 of Schedule 2 of each such Loan Agreement. b) Adopt any required E&S instrument and include it as part of the respective bidding process, if applicable, and in any case, before the carrying out of the relevant Project activities for which the respective E&S instrument is required. Implement the respective E&S instrument in accordance with its terms, throughout the Project implementation.	MIES PIU, in coordination with MoH and SRU focal points.
<b>ESS 2: LABOR AND WORKING CONDITIONS</b>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
2.1	<b>LABOR MANAGEMENT PROCEDURES</b> Update and implement Labor Management Procedures (LMP) for the Project, integrating the measures to be applied by MoH and SRU, and by GADs and NGOs partners pursuant to the respective Inter-Institutional Agreements, inter alia, provisions on working conditions, management of workers relationships, occupational health and safety (including personal protective equipment, and emergency preparedness and response), code of conduct (including relating to SEA and SH), forced labor, child labor, grievance arrangements for Project workers, and applicable requirements for contractors, subcontractors, and supervising firms.	Update the LMP within 60 days after the effectiveness of the AF2 Loan Agreement and thereafter implement it throughout Project implementation.	MIES PIU, in coordination with MoH and SRU focal points.
2.2	<b>GRIEVANCE MECHANISM FOR PROJECT WORKERS</b> Maintain and operate a grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.	MIES-SRU grievance mechanism is in place and shall thereafter operate throughout Project implementation.	MIES PIU, in coordination with MoH and SRU focal points.
<b>ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
Not relevant.			
<b>ESS 4: COMMUNITY HEALTH AND SAFETY</b>			
4.1	<b>SEA AND SH RISKS</b> Finalize, adopt and implement a SEA/SH Action Plan as part of the GAP and LMP to assess and manage the risks of SEA and SH.	Finalize and adopt the SEA/SH Action Plan within 60 days after the effectiveness of the AF2 Loan Agreement and thereafter implement it throughout Project implementation.	MIES PIU, in coordination with MoH and SRU focal points.
<b>ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
Not relevant.			
<b>ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
Not relevant.			
<b>ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
7.1	<b>INDIGENOUS PEOPLES PLANNING FRAMEWORK</b> Implement the Indigenous Peoples Planning Framework (IPPF), consistent with ESS7. Develop and incorporate as an Annex to the IPPF, a template for developing Indigenous Peoples Plans (IPP) to streamline the implementation of PPs at a <i>deconcentrated</i> level, with the adequate resources and monitoring tools	Implement the IPPF throughout Project implementation.	MIES PIU, in coordination with MoH and SRU focal points.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
7.2	<b>INDIGENOUS PEOPLES PLAN</b> Prepare, consult, disclose, adopt and implement an IPP, as set out in the IPPF for the economic and social inclusion programs, consistent with ESS7.	Prepare, consult, disclose and adopt the IPP to implement activities of the Project within 60 days after the effectiveness of the AF2 Loan Agreement. Once adopted, implement the IPP throughout Project implementation.	1. MIES PIU, in coordination with MoH and SRU focal points.
7.3	<b>GRIEVANCE MECHANISM</b> Adopt and implement the arrangements for strengthening the existing grievance mechanism to address complaints submitted by indigenous peoples as described in the IPPF, IPPs, and SEP.	Adopt and implement as action 10.2.	MIES PIU, in coordination with MoH and SRU focal points.
<b>ESS 8: CULTURAL HERITAGE</b>			
Not relevant.			
<b>ESS 9: FINANCIAL INTERMEDIARIES</b>			
Not relevant.			
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<b>STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION</b> Update and implement a Stakeholder Engagement Plan (SEP) for the Project, consistent with ESS10, which shall include measures to, inter alia, provide stakeholders with timely, relevant, understandable and accessible information, and consult with them in a culturally appropriate manner, which is free of manipulation, interference, coercion, discrimination and intimidation.	Update the SEP within 60 days after the effectiveness of the AF2 Loan Agreement, and thereafter implement the SEP throughout Project implementation.	MIES PIU in coordination with MoH and SRU focal points.
10.2	<b>PROJECT GRIEVANCE MECHANISM</b> Update, publicize, maintain, and operate an accessible existing grievance mechanism for the Project described in the SEP referenced in action 10.1 (same as action 7.3), to receive and facilitate resolution of concerns and grievances in relation to the Project, promptly and effectively, in a transparent manner that is culturally appropriate and readily accessible to all Project-affected parties, at no cost and without retribution, including concerns and grievances filed anonymously, in a manner consistent with ESS10. The grievance mechanism shall be equipped to receive, register, and facilitate the resolution of SEA/SH complaints, including through the referral of survivors to relevant gender-based violence service providers, all in a safe, confidential, and survivor-centered manner.	Update the grievance mechanism within 60 days after the effectiveness of the AF2 Loan Agreement and thereafter maintain and operate the mechanism throughout Project implementation.	MIES PIU, in coordination with MoH and SRU focal points.
<b>CAPACITY SUPPORT</b>			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY
CS1	<p>Training to be provided and targeted groups.</p> <ol style="list-style-type: none"> <li>1. Overview of Environmental and Social Standards Risk Management Instruments</li> <li>2. Operationalization of Stakeholder Engagement Plan, including grievance mechanism</li> <li>3. Operationalization of IPPF and Indigenous Peoples Plan</li> <li>4. Operationalization of the Labor Management Procedures, including grievance mechanism</li> <li>5. Operationalization of the SEA/SH Action Plan</li> </ol>	The following targeted audiences receive training throughout Project implementation: MIES PIU Project Management Staff, MIES's Participation and Communication Departments, Social Registry unit and MSP focal points.	MIES PIU
CS2	1. Training for Project workers on occupational health and safety (PIU staff and project contractors)	Throughout Project implementation.	MIES PIU